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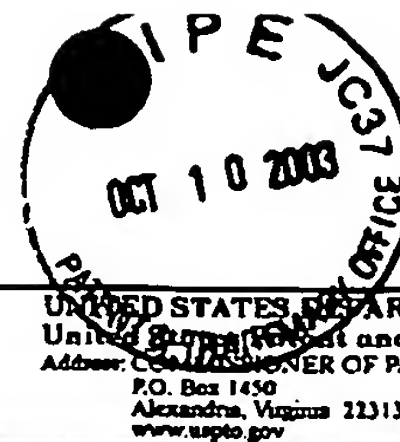
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APPLICATION NUMBER	FILING OR 371 (e) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/606,276	06/26/2003	Yukimitsu Iwata	DAIN:734

6160  
 PARKHURST & WENDEL, L.L.P.  
 1421 PRINCE STREET  
 SUITE 210  
 ALEXANDRIA, VA 22314-2805

CONFIRMATION NO. 3369

## FORMALITIES LETTER



\*OC000000010866596\*

Date Mailed: 09/12/2003

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

10/16/2003 09:01:11 00000077 10606276

01 FC:1001 770.00 00  
 02 FC:1051 130.00 00  
 03 FC:1052 130.00 00

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 750 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The application was filed in a language other than English. Applicant is required to provide an English translation of the specification and a statement that the translation is accurate. (See 37 CFR 1.52(d)).
- Applicant must file an English translation of the application, the \$ 130 fee set forth in 37 CFR 1.17(i), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).
- Because your specification was filed in a language other than English, the Office was unable to determine the number of claims submitted. Additional claim fees may be due once the number of claims can be determined.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is \$1010 for a Large Entity

- \$750 Statutory basic filing fee.
- \$130 Late oath or declaration Surcharge.

Adjustment date: 02/18/2004 SDIRETA1  
 12/08/2003 VVAN11 00000013 160331 10606276  
 01 FC:1203 230.00 CR



US PATENT & TRADEMARK  
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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Yukimitsu IWATA et al.

Serial No.: 10/606,276

Filed: June 26, 2003

For: ANTI-DAZZLING FILM

REQUEST FOR REFUND

Mail Stop 16  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

Sir:

Applicants respectfully request a refund in the amount of \$290.00 which was charged to our deposit account in the above-identified application.

On October 10, 2003 a Submission of Original Executed Application with Verified English-Language Translation and executed Declaration along with a Preliminary Amendment and appropriate filing fees were filed. A photocopy of the Preliminary Amendment as filed, as well as the date stamped postcard receipt are attached hereto.

D&P & REF  
Rom 307

PATENT

2004 FEB 11

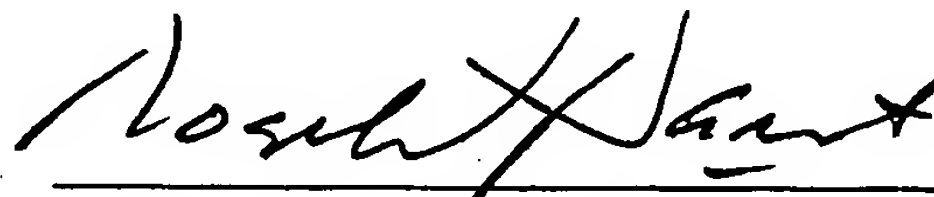
It is noted that the application was originally filed in the Japanese language and on June 26, 2003 the Verified English-Language Translation, which as translated incorporated multiply dependent claims. By Preliminary Amendment which was filed with the Verified English-Language Translation on October 10, 2003, the claims were amended to depend solely on one claim.

By this Request for Refund we are requesting that the PTO credit our Deposit Account No. 160331 in the amount of \$290.00.

Respectfully submitted,

PARKHURST & WENDEL, L.L.P.

February 9, 2004  
Date

  
Roger W. Parkhurst  
Registration No. 25,177

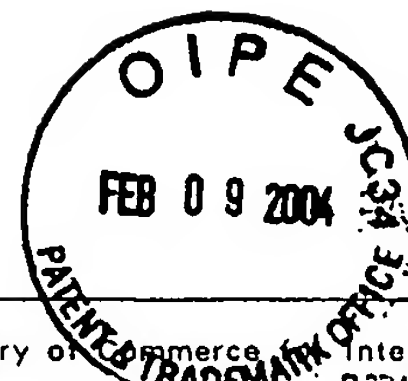
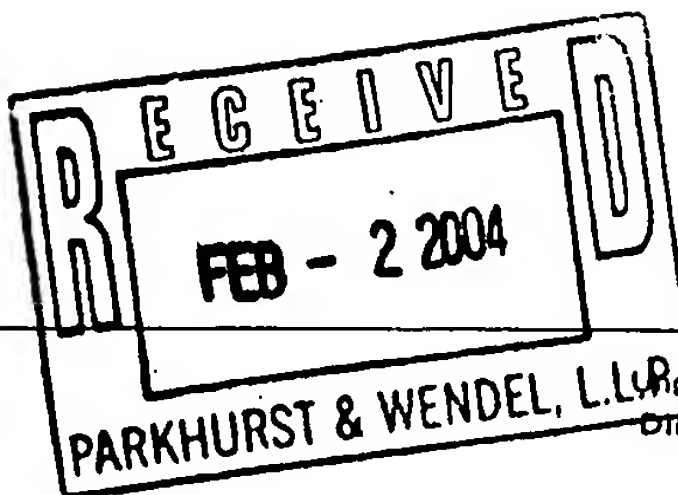
RWP/ame

Attorney Docket No.: DAIN:734

PARKHURST & WENDEL, L.L.P.  
1421 Prince Street, Suite 210  
Alexandria, Virginia 22314-2805  
Telephone: (703) 739-0220



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DAIN: 734

Atty. Docket No. Subm of Orig. Ex. Voln V/T Sp (18p) Clms (4/2p)

Description: Abs (1p) Ex Decl Prel Amd Supl ADS Req Cor Flg

Rcpt Asgn Ltr w/ Ex Asgn Clm Prty (lcc-No. 383)

Ck# 16989 \$1070.00

Inventor(s): Yukimitsu IWATA et al.

Title (new cases):



RWP: k1b 10/606, 276

Attorney: October 10, 2003 Serial No.:

Paper(s) Filed: 11-12-03 MP 10-29-03 c/u

Date(s) Satisfied: c/u 1-10-04 Rec'd Crtd FR?

N.D.D.:





PAT

700 PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE US PATENT & TRADEMARK OFFICE

In re Application of

Yukimitsu IWATA et al.

Serial No.: 10/606,276

Group Art Unit: Unassigned

Filed: June 26, 2003

Examiner: Unassigned

For: ANTI-DAZZLING FILM

PRELIMINARY AMENDMENT

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Prior to examination of the above-identified application,  
please enter the following specification changes as noted  
below:

IN THE CLAIMS:

Kindly replace the claims currently on file with the  
following:



Serial No. 10/606,276

PATENT

704 FEB 11 1973

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CLAIM SUMMARY:

1. (Original) An anti-dazzling film comprising a transparent substrate film and an anti-dazzling layer provided on one side of the transparent substrate film,

said anti-dazzling layer comprising an ionizing radiation-curable resin and transparent fine particles,

said transparent fine particles satisfying requirements represented by formulae (I) and (II):

$$2.0 \mu\text{m} \leq d_{50\%} \leq 5.0 \mu\text{m} \quad (\text{I})$$

$$0.5 \mu\text{m} \leq (d_{84\%} - d_{16\%})/2 \leq 1.2 \mu\text{m} \quad (\text{II})$$

wherein  $d_{84\%}$  represents a particle diameter corresponding to a point of 84% in a cumulative curve of a particle size distribution assuming that the total weight of the transparent fine particles is 100%;  $d_{50\%}$  represents a particle diameter corresponding to a point of 50% in said cumulative curve of a particle size distribution; and  $d_{16\%}$  represents a particle diameter corresponding to a point of 16% in said cumulative curve of a particle size distribution.

2. (Original) An anti-dazzling film comprising a transparent substrate film and an anti-dazzling layer provided on one side of the transparent substrate film,



Serial No. 10/606,276

PAGE 1

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US PATENT & TRADEMARK  
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said anti-dazzling layer comprising an ionizing  
radiation-curable resin and transparent fine particles,

said transparent fine particles satisfying requirements  
represented by formulae (III) and (IV):

$$3.5 \mu\text{m} \leq d_{50\%} \leq 5.0 \mu\text{m} \quad (\text{III})$$

$$0.8 \mu\text{m} \leq (d_{84\%} - d_{16\%})/2 \leq 1.0 \mu\text{m} \quad (\text{IV})$$

wherein  $d_{84\%}$  represents a particle diameter corresponding to a point of 84% in a cumulative curve of a particle size distribution assuming that the total weight of the transparent fine particles is 100%;  $d_{50\%}$  represents a particle diameter corresponding to a point of 50% in said cumulative curve of a particle size distribution; and  $d_{16\%}$  represents a particle diameter corresponding to a point of 16% in said cumulative curve of a particle size distribution.

3. (Presently Amended) The anti-dazzling film according to claim 1-~~or~~ 2, wherein two or more types of transparent fine particles are used as the transparent fine particles.

4. (Presently Amended) The anti-dazzling film according to claim 1-~~or~~ 2, wherein said ionizing radiation-curable resin comprises a polyfunctional acrylate monomer.

Serial No. 10/606,276

PATENT

7001 FEB 11 2004

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REMARKS

Claims 1-4, as amended, remain herein. Claims 3 and 4 have been amended hereby.

This Preliminary Amendment is submitted to eliminate multiply dependent claims from the above-identified application.

Examination of this application on its merits is respectfully requested.

Respectfully submitted,

PARKHURST & WENDEL, L.L.P.



Roger W. Parkhurst  
Registration No. 25,177

October 10, 2003

Date

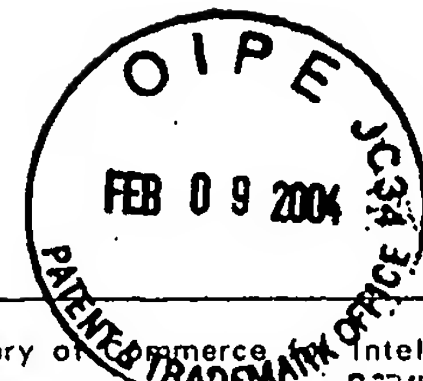
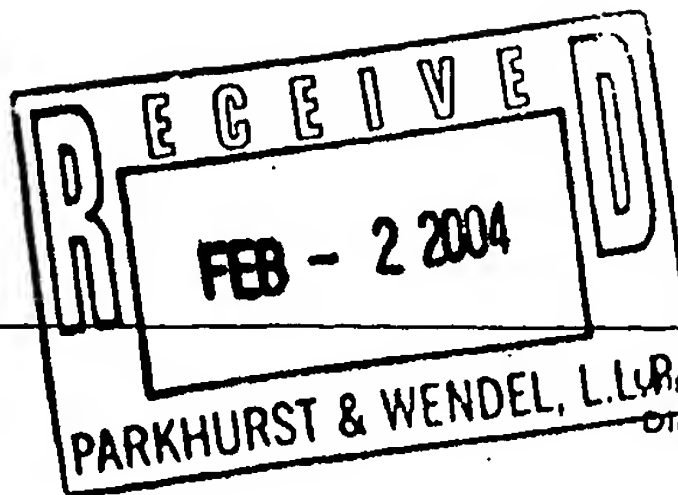
RWP:klb

Attorney Docket No. DAIN:734

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DAIN: 734

Atty. Docket No. Subm of Orig Ex Pln V/T Sp (18p) Clms (4/2p)

Description: Abs (1p) Ex Decl Prel Amd Supl ADS Req Cor Flg

Rcpt Asgn Ltr w/ Ex Asgn Clm Prty (lcc-No. 383)

Ck# 16989 \$1070.00

Inventor(s): Yukimitsu IWATA et al.

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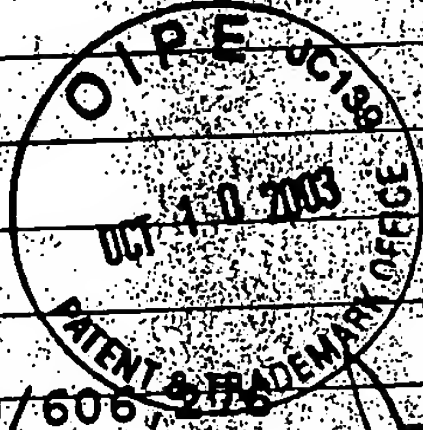
RWP: k1b 10/606, 275

Attorney: October 10, 2003 Serial No.:

Paper(s) Filed: 11-12-03 MP 10-29-03 c/u

Date(s) Satisfied: c/u 1-10-04 Rec'd Crtd FR?

N.D.D.:





PAT

7000 PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE US PATENT & TRADEMARK OFFICE

In re Application of

Yukimitsu IWATA et al.

Serial No.: 10/606,276

Group Art Unit: Unassigned

Filed: June 26, 2003

Examiner: Unassigned

For: ANTI-DAZZLING FILM

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below:

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Kindly replace the claims currently on file with the  
following:

Serial No. 10/606,276

PATENT

704 FEB 11 1971

US PATENT & TRADEMARK  
OFFICE

CLAIM SUMMARY:

1. (Original) An anti-dazzling film comprising a transparent substrate film and an anti-dazzling layer provided on one side of the transparent substrate film,

said anti-dazzling layer comprising an ionizing radiation-curable resin and transparent fine particles,

said transparent fine particles satisfying requirements represented by formulae (I) and (II):

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2. (Original) An anti-dazzling film comprising a transparent substrate film and an anti-dazzling layer provided on one side of the transparent substrate film,

Serial No. 10/606,276

PAGE 3

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said anti-dazzling layer comprising an ionizing  
radiation-curable resin and transparent fine particles,

said transparent fine particles satisfying requirements  
represented by formulae (III) and (IV):

$$3.5 \mu\text{m} \leq d_{50\%} \leq 5.0 \mu\text{m} \quad (\text{III})$$

$$0.8 \mu\text{m} \leq (d_{84\%} - d_{16\%})/2 \leq 1.0 \mu\text{m} \quad (\text{IV})$$

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Serial No. 10/606,276

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Respectfully submitted,

PARKHURST & WENDEL, L.L.P.



Roger W. Parkhurst  
Registration No. 25,177

October 10, 2003  
Date

RWP:klb

Attorney Docket No. DAIN:734

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